

Using electron microscopy to calculate optical properties of biological samples

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Abstract: The microscopic structural origins of optical properties in biological media are still not fully understood. Better understanding these origins can serve to improve the utility of existing techniques and facilitate the discovery of other novel techniques. We propose a novel analysis technique using electron microscopy (EM) to calculate optical properties of specific biological structures. This method is demonstrated with images of human epithelial colon cell nuclei. The spectrum of anisotropy factor g , the phase function and the shape factor D of the nuclei are calculated. The results show strong agreement with an independent study. This method provides a new way to extract the true phase function of biological samples and provides an independent validation for optical property measurement techniques.

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1. Introduction

Optical properties have been investigated in numerous studies due to the emergence of optical techniques used in diagnosis [1–6], therapy [7, 8] and surgery [9]. Specifically for diagnostic applications, changes in optical properties in tissues and cells can be detected far earlier than any available histological, molecular or genetic markers for many diseases [10–13]. It has been shown that optical properties have the ability to sense sub-diffractive structures down to 30 nm [14, 15]. While conventional optical imaging is constrained by the diffraction limit of light, optical properties are not because they quantify the propagation and scattering of light in tissue. At these small length scales, it is possible to detect the subtle alterations of tissue structure presenting in numerous diseases, which are impossible to observe by traditional optical imaging techniques [16, 17]. The great utility of characterizing optical properties has stimulated the development of a myriad of measurement techniques including

integrating sphere [18, 19], frequency-domain photon migration [20, 21], spatially resolved transmittance and spatially resolved reflectance spectroscopy [3, 22–25].

Unfortunately, there are three major limitations among prevalent optical property measurement techniques. First, they do not allow direct nanoscale visualization of the structures they are sensitive to. Second, the optical properties usually average the nanoscale information over a relatively large volume (μm^3 to mm^3), so conventional optical property measurements do not isolate optical properties to small structures (e.g. organelles) within intact tissue. Lastly, many optical property extraction methods are based on approximated scattering phase function models [6, 26], which make the measured optical properties vulnerable to the accuracy of the chosen model. These limitations hinder optical property measurement techniques from having insight into the underlying biology presenting the properties being measured. Overcoming these limitations becomes crucial when trying to improve techniques using optical properties as diagnostic markers.

The major technical challenge to overcome these limitations comes from the lack of optical imaging techniques that resolve structures responsible for light scattering (organelles, collagen fibers, chromatin, etc.). Fortunately, the development of nanoscale imaging techniques such as electron microscopy (EM) provides a way to overcome this challenge. EM has the ability to achieve resolution better than 100 pm, and thus can be a great imaging technique to isolate specific structures in biological samples and study the contribution from each structure to the optical properties. While EM has been used extensively in biomedical research, to our knowledge there is no report of using EM to extract optical properties from biological media.

In this work, we present a methodology to use EM to directly extract the optical properties of specific biological structures using the Born approximation. The Born approximation, also known as Rayleigh-Gans-Debye approximation, or weak scattering approximation is a valid predictive model for scattering in tissue and cells due to their weakly scattering properties [22, 27, 28]. We use the Born approximation and the known refractive indexes of cellular components to calculate the optical properties of nuclei from human epithelial cells measured by Transmission Electron Microscopy (TEM), taken from the rectal mucosa of patients with and without precancerous lesions in their colon. In the results, we first show the spectrum of anisotropy factor g and the phase function of colon cell nuclei. Then, the calculated optical properties are compared with the optical properties of epithelial cells measured by Inverse Spectroscopic Optical Coherence Tomography (ISOCT), a technique capable of measuring the spatially resolved optical properties of cells and tissues [6, 23]. The directly calculated phase function of colon cells is compared with the Henyey-Greenstein (HG) phase function, which is commonly used in biological media [26, 29, 30].

The paper is organized as follows: In section 2 we show the basic theory we used to develop our method. In section 3 we describe the method we used to calculate the optical properties from TEM nuclei images in detail. Section 4 shows the results from human epithelial cells and comparison with an independent study. Finally, in Section 5 we discuss our conclusions and the potential impact of this work.

2. Theory: application of the Born approximation to calculate optical properties

The Born approximation, also known as Rayleigh-Gans-Debye approximation, has been used to calculate optical properties in biological media [27, 31]. Here we give a brief review of this theory. A scattering event happens in media when there is a heterogeneous distribution of refractive index $n(\vec{r})$. The refractive index of a medium has a linear relationship with its mass density $\rho(\vec{r})$, which can be expressed by the Gladstone-Dale relationship [21, 32]:

$$n(\vec{r}) = n_{\text{water}} + \alpha\rho(\vec{r}) \quad (1)$$

where n_{water} is the refractive index of water and α is the incremental constant for a specific structure. This is the reason why optical scattering phenomena (originating from $n(\vec{r})$) can be related to the structural information of the media (represented by $\rho(\vec{r})$).

Under the Born approximation, the incident electromagnetic wave is the driving field for the scattering event. This approximation is valid in biological media since its refractive index follows the weak scattered condition, which requires $|n/\langle n \rangle - 1| \ll 1$ and $2ka|n/\langle n \rangle - 1| \ll 1$, where n is the refractive index of the media, $\langle n \rangle$ is the averaged refractive index of the background, k is the free-space wavenumber and a is the size of the scattering particle. Under this condition, the scattered field is very small compared to the incident field and its contribution to the total field can be neglected. We will apply the Born approximation in the calculation of the scattering electric field because of its high efficiency compared to more accurate, but more time-consuming computational methods like the Finite-Difference Time-Domain method (FDTD) [33]. Under the Born approximation, the relationship between the differential scattering cross section and autocorrelation function of the refractive index distribution from the sample is simplified to a Fourier Transform [34, 35].

Here, as an example, we will use a cell nucleus to illustrate how to apply this approximation in the scattering calculation, though this method can be expanded to any organelle. Since a scattering event happening in the randomly distributed nucleus is in the weak scattering range, we can consider the nucleus as a particle with a finite size and a random distribution of mass density inside the particle. In this case, the origin of the scattering comes from the relative excess refractive index in the form of $n_{\Delta}(\vec{r}) = (n_n(\vec{r}) - \langle n_{cyt} \rangle) / \langle n_{cyt} \rangle$, where $n_n(\vec{r})$ is the refractive index distribution inside the nuclei and $\langle n_{cyt} \rangle$ is the averaged refractive index of cytoplasm. The autocorrelation function of the nucleus is represented in Eq. (2):

$$B_n(\vec{r}_d) = \int_V n_{\Delta}(\vec{r}) n_{\Delta}(\vec{r} - \vec{r}_d) d\vec{r}^3 \quad (2)$$

By considering that the random media is statistically isotropic, the Fourier Transform of $B_n(\vec{r}_d)$ in 1-D form is:

$$\Phi_s(k_s) = \frac{1}{2\pi^2} \int_0^{\infty} B_n(r_d) \left[\frac{\sin(k_s \cdot r_d)}{k_s} r_d \right] dr_d \quad (3)$$

where $k_s = 2\langle n_{cyt} \rangle k \sin(\theta/2)$, θ is the azimuth angle and $\Phi_s(k_s)$ is the power spectral density (PSD) of the refractive index. According to the Born approximation, the differential scattering cross section $\sigma(\theta, \phi, k)$, where ϕ is the polar angle in spherical coordinate, is proportional to the PSD. So, we can calculate the differential scattering cross section as:

$$\sigma(\theta, \phi, k) = 2\pi \left(\langle n_{cyt} \rangle k \right)^4 \left(1 - \sin^2 \theta \cos^2 \phi \right) \Phi_s(k_s) \quad (4)$$

Because we assume unpolarized light, σ is independent of ϕ , and the differential scattering cross section can be simplified to its 1-D form:

$$\sigma(\theta, k) = 2\pi \left(\langle n_{cyt} \rangle k \right)^4 \left(1 + \cos^2 \theta \right) \Phi_s(k_s) \quad (5)$$

With this expression of the differential scattering cross section, we can now calculate the anisotropy factor g as the first moment of $\sigma(\theta, k)$:

$$g(k) = \frac{\int_{-1}^1 \cos \theta \cdot \sigma(\cos \theta, k) d \cos \theta}{\int_{-1}^1 \sigma(\cos \theta, k) d \cos \theta} \quad (6)$$

As well as the transport mean free path:

$$l_s^*(k) = \frac{1}{\int_{-1}^1 \sigma(\cos \theta, k) d \cos \theta \cdot (1 - g(k))} \quad (7)$$

The “shape factor” D is another optical property measured in many studies [22, 35–37], and has been found to have a power law relation with the spectrum of incident light in the biological tissue in these measurements:

$$l_s^*(k) \propto k^{D-4}, D \leq 4 \quad (8)$$

This power law relation can be derived under a number of analytical autocorrelation function models such as the Whittle-Matern (WM) model [38]. The WM model is an autocorrelation family which actually encompasses many models including the mass-fractal model and HG phase function and is often used to model biological samples [22, 27]:

$$B_n(r_d) = A_n \left(\frac{r_d}{l_n} \right)^{\frac{D-3}{2}} K_{\frac{D-3}{2}} \left(\frac{r_d}{l_n} \right) \quad (9)$$

where A_n is a normalization factor, l_n is the length scale factor of the modeled sample, $K_{(D-3)/2}(r_d/l_n)$ is the modified Bessel function of the second kind. The power law relation is only valid when $kl_n \gg 1$. The details of this relation can be found in [36].

Since we are only studying the property of single nuclei, whose size is much smaller than mean free path, we can assume that there is only single scattering in nuclei. Therefore, l_s^* does not have physical meaning but the relation with D is still valid. To calculate a physically meaningful value of l_s^* , we would also need to measure the number density of nuclei in a volume of tissue, which is not within the scope of this study.

3. Method

Section 2 shows that optical properties g , D , and any other phase function dependent parameters can be calculated from $B_n(r_d)$ extracted from a 3D nanoscale image of a sample. However, to our knowledge, there is no well-established direct imaging technique which provides 3D nano-scale (down to 10 nm) visualization of structures in biological samples. Here we will present a method to calculate the optical properties from the $B_n(r_d)$ extracted from 2D TEM images of cell nuclei.

3.1.1 Acquire nuclei TEM images

The biopsy preparation protocol and TEM measurement parameters are described in detail in [35]. Briefly, ten patients who had undergone colonoscopy were recruited into this study. Five patients had no signs of dysplasia or adenomas (precancerous lesions) throughout the colon and were considered a control group. Five patients presented with advanced adenomas (AA group) or adenomas larger than 9mm in diameter. A biopsy was taken from each patient’s colon and was then stained with osmium tetroxide (OsO_4 , which is commonly used to target DNA [39, 40]). TEM images of tissue sections from the biopsies were acquired after excision.

Fig. 1(a) shows an example of a TEM image used in this study. The nucleus in the selected region is divided into two parts. The darker part corresponds to heterochromatin, which has denser DNA concentration, and the lighter part corresponds to euchromatin, which

has a lower DNA concentration. These two parts are the largest components in nuclei. To isolate nuclei and their contribution to the optical properties, we cropped each nucleus out of the image and set the background outside the nuclei to be uniform cytoplasm, as shown in Fig. 1(b)-1(c).

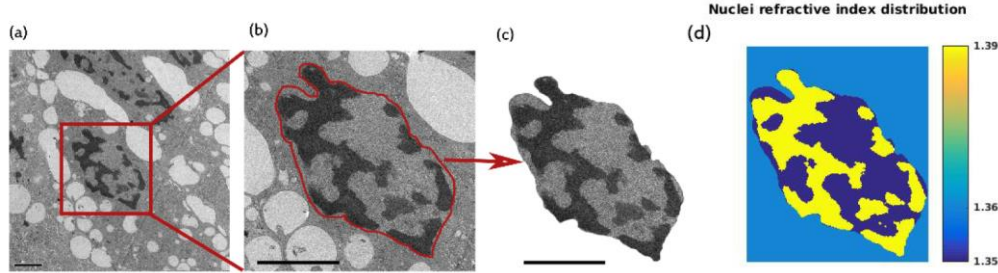


Fig. 1. Nuclei in a TEM image. (a) is the original TEM image of the biopsy. (b) is the selected nucleus in TEM image. (c) is the cropped out nucleus. The background of the image is taken as uniform cytoplasm. (d) is the nuclear refractive index distribution $n(x, y)$ after binarization. The refractive index is 1.35 for euchromatin, 1.36 for cytoplasm and 1.39 for heterochromatin. The scale bar in (a) is $2\mu\text{m}$, the scale bar in (b-c) is $2.5\mu\text{m}$.

3.1.2 2D Refractive index autocorrelation function of nuclei TEM image

The TEM images provide the structural distribution information inside the nuclei but not the direct refractive index distribution. However, since the nuclei mainly consist of heterochromatin and euchromatin, dividing the nuclei images into those two parts and assigning the appropriate refractive indexes to each part can provide a good approximation of the refractive index distribution inside nuclei [41]. Note that, this method of approximating refractive index distribution might not be applicable for all the sample types when the refractive indexes of the components inside the sample are unknown. The refractive index outside nuclei is taken as the averaged refractive index of cytoplasm, which we set to 1.36. This value was taken from direct refractive index measurements [42, 43]. We use 1.35 and 1.39 as the refractive index values for euchromatin and heterochromatin, respectively. These values are based on their respective mass densities and using the Gladstone-Dale relation since, to our knowledge, there is no direct measurement of their refractive indices. The term mass density in this work refers to the mass density only of chromatin (i.e., in the absence of water). Thus, the mass density of euchromatin is 0.1 g/ml and the heterochromatin is 0.3 g/ml [44–47], α in the Gladstone-Dale relationship is 0.2 ml/g [48, 49] for chromatin, and the refractive index for water is 1.33. The final 2D refractive index distribution $n(x, y)$ is shown in Fig. 1(d).

Using convolution theorem, we calculate the autocorrelation function of $\Delta n(x, y)$, where $\Delta n(x, y) = n(x, y) - n_{\text{cyl}}$:

$$\begin{aligned} B_n(\Delta x, \Delta y) &= \iint \Delta n(x, y) \cdot \Delta n(x - \Delta x, y - \Delta y) dx dy \\ &= F^{-1} \left\{ \left| F \{ \Delta n(x, y) \} \right|^2 \right\} \end{aligned} \quad (10)$$

By assuming that the samples from the same region of the same patient are statistically isotropic, we convert this 2D autocorrelation function $B_n(\Delta x, \Delta y)$ into the 1D autocorrelation function $B_n(r_d)$:

$$B_n^{2D}(r_d) = \int B_n(\Delta x, \Delta y) d\theta = \int B_n(r_d \cos \theta, r_d \sin \theta) d\theta \quad (11)$$

where $B_n^{2D}(r_d)$ denotes the autocorrelation function from 2D images.

3.1.3 Extract 3D autocorrelation function from 2D TEM images

Note that the $B_n^{2D}(r_d)$ obtained in the last subsection is from 2D images. However, scattering happens in 3D and $B_n(r_d)$ cannot fully represent the statistics of $B_n^{2D}(r_d)$. This is because the 2D image is only one slice of the full 3D nucleus. A 3D image of the nucleus would consist of many 2D slices, depending on the thickness of the slices and nucleus. So the statistical information in the 2D image of the sample is not enough to represent the full statistical properties of the 3D sample. The difference between $B_n^{2D}(r_d)$ and $B_n(r_d)$ is shown in Fig. 2(b). The 3D random media (RM) in Fig. 2 is generated using the WM model through a publicly available MATLAB code [50]. We assume biological media is statistically homogeneous, therefore we can average a sufficiently large number (N) of $B_n^{2D}(r_d)$ to represent $B_n(r_d)$. Figure 2(c) shows the convergence of $B_n^{2D}(r_d)$ and $B_n(r_d)$ with average $N = 1000 B_n^{2D}(r_d)$. We present a method to determine the minimum N of $B_n^{2D}(r_d)$ images needed to average over to accurately represent $B_n(r_d)$ of biological samples. The minimum N is dependent on the sample properties and image parameters. To best model the biological sample, we model tissue using the versatile WM correlation family discussed in Sec. 2 with biologically relevant parameters, $D = 3$ and $l_n = 1\mu\text{m}$ [23]. For the image parameters, the resolution and grid size of our TEM images are $dx = 10\text{nm}$ and $\text{grid_size} = 801$. We generated the RM with these four inputs. To determine the minimum N needed to represent $B_n(r_d)$ from a 3D sample, we compared $B_n(r_d)$ from 3D media to $B_n^{2D}(r_d)$ averaged over 1 to 15 2D images of RM. The results from these steps show that after averaging $B_n^{2D}(r_d)$ from 10 RM 2D slides, $B_n^{2D}(r_d)$ converges to $B_n(r_d)$ with $R^2 > 99\%$. Figure 2(c), 2(e), and 2(f) shows these results. Based on these observed results, we divide all the TEM images in each group into subgroups and each subgroup contains 10 TEM images of nuclei. The $B_n(r_d)$ of each subgroup is averaged over 10 $B_n^{2D}(r_d)$ of TEM images in that subgroup.

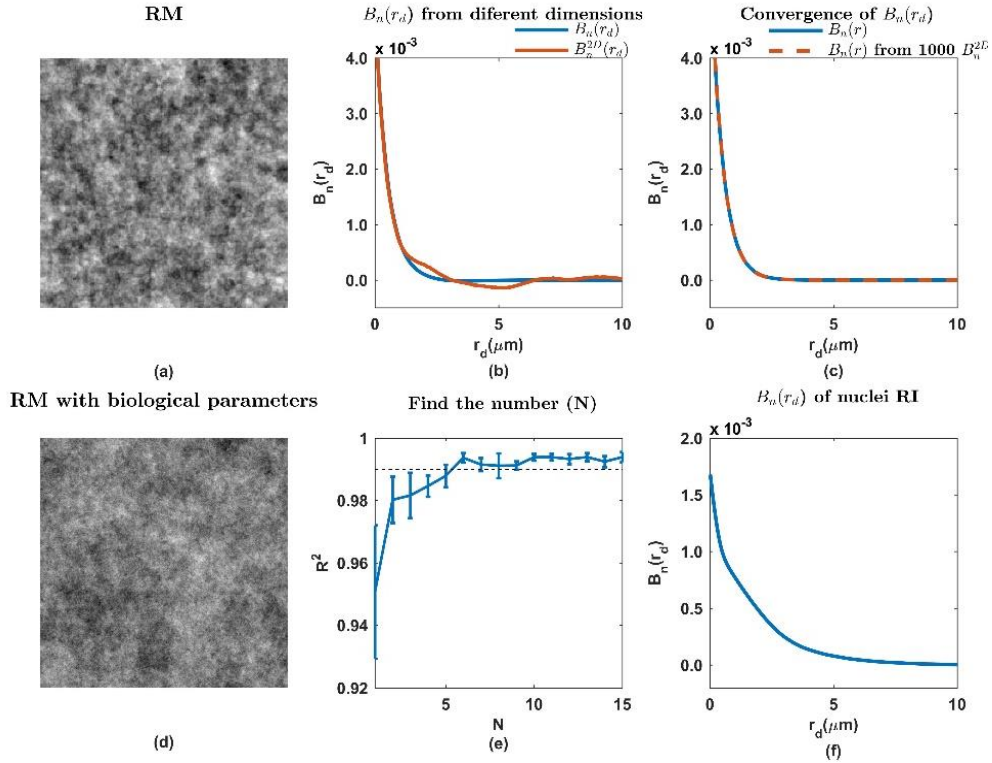


Fig. 2. Extracting $B_n(r_d)$ from 2D images. (a) is an example of numerically generated random media. (b) shows the difference between $B_n(r_d)$ from 3D random media and 2D random media. (c) shows the $B_n^{2D}(r_d)$ from 2D slides of random media converge to $B_n(r_d)$ from 3D RM. (d) is one example of RM with biological parameters of the nuclei TEM images. (e) shows the R^2 between $B_n(r_d)$ and N averaged $B_n^{2D}(r_d)$. This result shows that when $N \geq 10$, R^2 between $B_n(r_d)$ and $B_n(r_d)$ from averaging over N $B_n^{2D}(r_d)$ is larger than 99% and the difference is negligible. (f) is an example of $B_n(r_d)$ after averaging over 10 $B_n^{2D}(r_d)$ of nuclei TEM images (RI represents refractive index).

3.2 Calculate optical properties from the autocorrelation function

The differential scattering cross section $\sigma(\theta, k)$ and the spectrum of g , $g(\lambda)$, for the measured sample can be calculated from $B_n(r_d)$ using Eqs. (3)-(6), with the relation $k = 2\pi/\lambda$. The phase function measure from TEM images $P_{TEM}(\theta)$ at a specific wavelength $\lambda = \lambda_0$ will be:

$$P_{TEM}(\theta) = A_0 \sigma(\theta, 2\pi/\lambda_0) \quad (12)$$

where A_0 is a normalization factor so that:

$$\int_0^{2\pi} \left\{ \int_0^\pi P_{TEM}(\theta) \sin(\theta) d\theta \right\} d\phi = 1 \quad (13)$$

The shape factor D can be calculated with the exponential relationship between l_s^* and $D-4$, as shown in Eq. (8). We fit the spectrum of l_s^* in the range of k corresponding to 600 nm to 700 nm to find D . To simplify the calculation, we take the log of both sides of Eq. (8) to get Eq. (14).

$$\log(l_s^*(k)) = (D-4)\log k + C \quad (14)$$

where C is a constant independent of D and k . We fit the data with a linear regression and the slope of the line is equal to $D-4$.

4. Result

Using the previously described methodology, we first calculated $B_n(r_d)$ from nuclei TEM images from 5 patients in control data set and 5 in the AA data set separately, as shown in Fig. 3(a). Figure 3(a) shows that $B_n(r_d)$ from the control set has a lower magnitude at lower length-scales and has a different shape. Then the corresponding $P_{TEM}(\theta)$ when $\lambda_0 = 700nm$ and $g(\lambda)$ are calculated from $B_n(r_d)$, as shown in Fig. 3(b)-3(c).

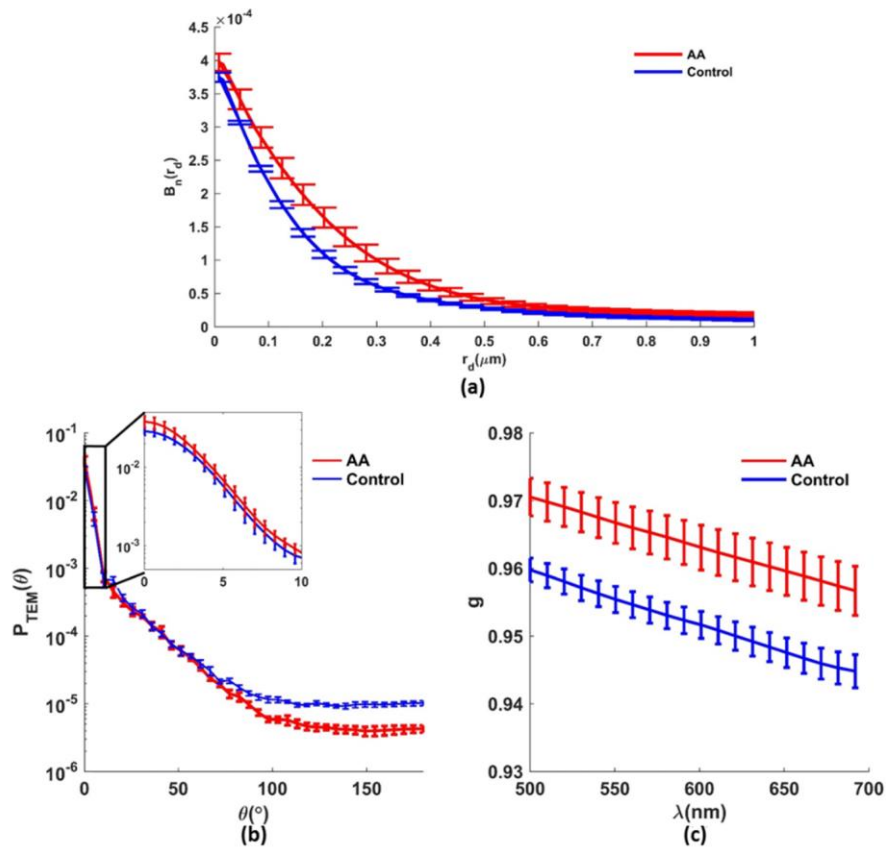


Fig. 3. Results for the autocorrelation function, spectrum of g and the phase function for control sample and AA sample. (a) is the $B_n(r_d)$ from control data set and AA data set. (b) shows the phase functions calculated from TEM images. Phase functions are calculated at $\lambda_0 = 700nm$ (c) shows the spectrum of g for the range of λ between 500nm to 700nm.

We then calculated the corresponding optical properties g and D of colon cell nuclei from TEM images from a control set and AA set, where g is calculated at $\lambda_0 = 700nm$, as shown in Fig. 3. The g and D measured from the EM method is compared with those measured previously with ISOCT by Yi *et al* [23] as shown in Fig. 4. The ISOCT measurements in Fig. 4 were conducted by an open space Fourier-domain OCT configuration with an illumination

wavelength ranging from 650 to 800 nm. The samples measured were colonic mucosa biopsies consisting of a 20 to 30 μm epithelial cell layer surrounded by the lamina propria from 85 patients with and without colorectal adenomas. The epithelial layer is segmented out of the image to isolate the ISOCT signal from its constituent cells as described by Yi *et al* [23]. The cell segmentation gives ISOCT the ability to quantify the average optical properties of multiple cells.

Because of the differences in $B_n(r_d)$ shown in Fig. 3(a), the optical properties calculated from each set are significantly different. Both g and D increase from control set to AA set. The comparison in Fig. 4 shows agreement in the values of D and g between the two studies with differences less than 5% and 10% respectively. The differences between the two studies likely originate from the fact that ISOCT averages the optical property over whole cells and many organelles. While it lacks the resolution to isolate the nucleus; the largest contribution to the average optical properties of the cell is likely from the nucleus. Assuming most of the OCT signal measured from epithelial cells originates from their nuclei [51, 52], the agreement of the results between this method and ISOCT measurements illustrates the accuracy of our method. The increase in g and D in the AA set originates from the flatter slope of $B_n(r_d)$. Since the rate of change in $B_n(r_d)$ describes how rapidly the structure is changing, the structures sharing similar refractive index should be larger in the AA set. This is explained by the increase in the volume fraction of heterochromatin in the AA set, as previously observed by Cherkezyan *et al* [35]. While the increase of heterochromatin implies a decrease of euchromatin, the volume fraction of heterochromatin is smaller compared with euchromatin [35]. This means that the heterochromatin's volume change would cause more dramatic change to the autocorrelation function.

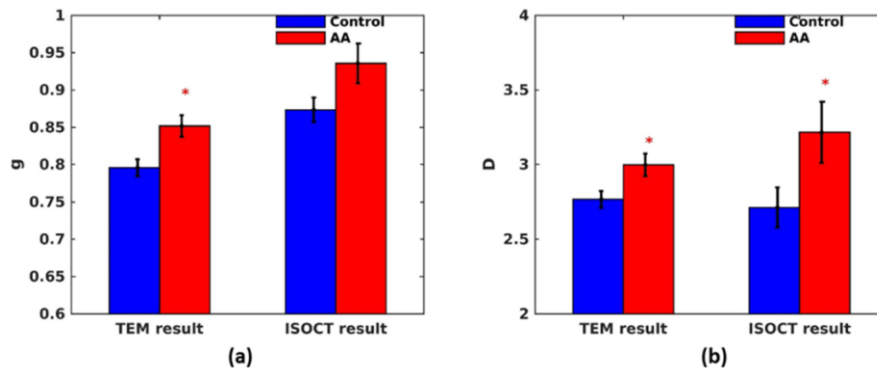


Fig. 4. Results of the optical property calculated from colon cell nuclei TEM images, compared with ISOCT result. '*' indicates the p-value is smaller than 0.05. The result from TEM image shows significant difference in g and D between control data set and AA set. Compared with ISOCT measurement, the TEM method shows the same trend between control and AA for g and D . In the case of D , the values between two methods match with each other within 5%.

One great advantage of this analytical technique is that it calculates the real phase function from the sample, without fitting to any analytical model. For instance, the HG phase function is one of the commonly used models to represent the scattering phase function for biological samples and has the following form:

$$P_{HG}(\theta) = \frac{1}{4\pi} \frac{1-g^2}{[1+g^2-2g\cos(\theta)]^{3/2}} \quad (15)$$

One obvious shortcoming of using the HG phase function model is that the shape factor D is not included in the model, and therefore the HG phase function cannot capture the difference in D shown in Fig. 4. Furthermore, the comparison between the real phase function calculated directly from sample using our analysis technique, and the HG phase function model are shown in Fig. 5. Here, we use $g = 0.8$ for control nuclei and $g = 0.85$ for AA set nuclei, which are the values obtained from our TEM analysis, when $\lambda_0 = 700nm$. Both of the phase functions use the normalization factor described in Eq. (13). The results show that the HG phase function gives a nice fit to the calculated phase function of nuclei within the angles between 30 to 60 degrees. For the smaller and larger scattering angles, the HG phase function fails to explain the higher scattering probability at smaller and larger angles, which is consistent with previous investigations of the HG phase function [53]. At small scattering angles, where $\theta < 5^\circ$, The HG phase function predicts lower scattering probability when $\theta < 2^\circ$, but higher scattering probability when θ is within 2° and 5° . This difference between HG phase function and the measured phase function is also observed in red blood cells when the angle is smaller than 5 degree [54]. The disability of HG to accurately model the scattering probability at the forward and backward scattering directions demonstrates the great benefit of calculating the true phase function of a sample.

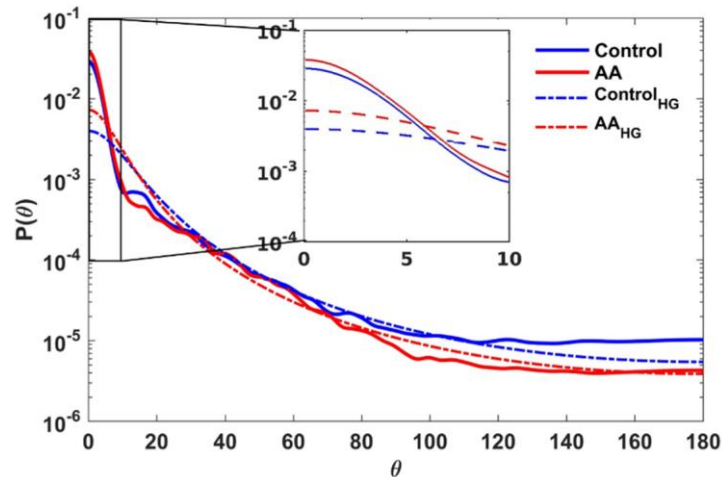


Fig. 5. The comparison of the phase functions from TEM images (The solid lines) and the HG relation (The dash lines). Both phase functions are calculated at $\lambda_0 = 700nm$

5. Discussion and conclusion

In this work we showed how EM images of tissue sections can be used to calculate the true phase function and optical properties of biological structures. We accomplished this by applying the Born approximation. We showed significant differences in the phase function and optical properties calculated from colon cell nuclei from patients with and without advanced adenomas. These findings were in excellent agreement with an independent study. Furthermore we demonstrated the advantage of calculating the true phase function from the nuclei, as opposed to fitting to a constrained model.

While this EM image analysis provides a novel method to calculate the autocorrelation function, phase function, and optical properties directly from biological samples, there are two aspects of this technique that can prove to be potential challenges. 1) The application of this EM image analysis requires knowledge of the refractive index distribution of the structures in the image. 2) As discussed in Sec. 3, the theory being employed to calculate the

power spectral density function requires calculation of a 3D autocorrelation function from the sample (i.e., it requires a 3D nanoscale image of the sample).

The first aspect was a challenge in this study due to the dying process the tissue sections underwent during sample preparation. This process does not necessarily allow a linear relationship between the refractive index distribution and the image intensity. However, since the refractive index of chromatin is known, the binary process described in Sec. 3 allowed us to overcome this challenge. The binary process will reduce the real fluctuations of the refractive index distribution, and consequently decrease the absolute value of the autocorrelation function. However, these fluctuations are not significant compared with the drastic difference in refractive index between euchromatin and heterochromatin. This binary method can be used in many different biological structures with the caveat that it requires knowledge of the refractive index of each component in the sample image. This means that measuring the optical properties of larger biological samples like whole cells and bulk tissue with this same technique could be challenging because of the lack of experimental measurements of the refractive index of the different components in the samples. For samples with many components with unknown refractive indexes, the recently developed EM techniques that require no staining might open the door to measuring the refractive index distribution directly of the sample [55, 56]. In these unstained EM images, the intensity of EM image will have an approximately linear relationship with the mass density of the sample. The refractive index distribution of the sample can be easily calculated by applying the Gladstone-Dale relationship.

As discussed in Sec. 3, we overcame the second challenge by using 2D TEM images and averaging them to represent the 3D autocorrelation function. This solution is valid under the assumption that the sample is statistically isotropic. This was necessary due to the lack of 3D nanoscale imaging data sets of biological samples. In the case of measuring a statistically anisotropic sample, the direct 3D nanoscale imaging is needed to calculate the optical properties accurately.

With the possibility of unstained EM techniques, the refractive index distribution of the sample can be measured directly with EM without prior knowledge of the refractive index of each component in the sample. This would allow the EM analysis technique to provide an independent validation of optical property measurements and better understanding of the microscopic origins of the optical properties of cells and tissues in diseased and healthy states. This understanding is crucial for the development, validation and implementation of biomedical optics diagnostic techniques. Specifically, the further application and development of this method can bring us three key benefits: 1) Extraction of the real phase function from any tissue type. 2) The optical properties of each organelle in tissue can be measured independently. 3) Establishing a connection between optical properties and nanoscale structure (e.g. organelles) allows in-depth investigation of the nano-architecture of cells and tissue using optical techniques.

The difference between the real phase function calculated from TEM nuclei images and the HG phase function model indicates that the HG model cannot capture the phase function of the biological sample at small and large angles. This is partially because the HG model does not have a dipole factor, which leads to an observed non-monotonic shape at smaller angles [27, 57, 58]. With the development of experimental methods measuring different optical properties, a method that can retrieve the real phase function is necessary to explain the observed data. There is no phase function model which is capable of precisely modeling all biological samples. To extract the true optical properties of any biological sample, the real phase function is necessary. Extracting the real phase function is also very useful for simulation methods (e.g. Monte Carlo) that use the phase function as an input. These simulations traditionally make assumptions about the shape of the phase function by using models like the HG model [26, 29, 30, 59]. However, inputting the real phase function of a

sample from this EM analysis will significantly improve the accuracy of light transport simulations.

The isolation of optical properties of organelles can improve the accuracy of optical diagnostic tools. Many existing diagnostic instruments use optical properties as a marker of disease progression and as the disease progresses this biomarker becomes altered. Our method can isolate the source of this alteration in terms of changes to the organelle structures. This allows researcher to build a direct connection between their optical measurements, and the subcellular alterations in their studies. This can lead to better understanding of disease progression, and improve the development of optical instruments and help improve their ability to target specific structural alterations in disease progression.

Using optical measurements to interpret the nanostructure of biological samples can largely reduce the need for nanoscale imaging. Once the connection between optical properties and the nanostructure of biological samples is built, the repeated measurements of samples by using nanoscale imaging techniques will not be necessary. In other words, the results from optical property measurements can be remapped to the nanostructure information of the sample, which will save time and consumable cost from using nanoscale imaging techniques.

In summary, we developed a methodology of using EM to calculate the optical properties of biological samples from their nano-scale images. The optical properties of cell nuclei measured by this method are validated by an independent optical technique, ISOCT. With further development, we envision this technique could become a standardized method to validate new experimental instruments that measure optical properties. Also, this EM analysis technique provides a way to gain a crucial understanding of the structural origins of optical properties for a given sample. In this work we validated the assumption that changes in chromatin organization are primarily responsible for the optical property changes in colon epithelia observed in a separate study. To investigate other structures responsible for the altered optical properties, we can extend these investigations to other cellular structures such as mitochondria and other organelles.

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