Federal Policies

• For Example:

  – Office of Management and Budget (OMB) Uniform Guidance:

    • A reform that supersedes and streamlined language from eight existing OMB Circulars into one consolidated set of guidance.

    • Applied to audit periods starting on December 26, 2014.
Sponsor Specific Policies

NIH Grants Policy Statement (GPS)

- Contains:
  - Policy requirements that serve as the terms and conditions of NIH grants
  - Info on NIH as an organization
  - NIH grant process

NIH Grants Policy Statement (GPS)

- Contains:
  - Policies and procedures used by grantees and NSF
  - NSF award process
  - Guidance for unique grant requirements


Federal Demonstration Partnership (FDP):

- Cooperative agreement between federal agencies and awardees
- Established to increase research productivity
- Minimizes the administrative burden on principal investigators
- Gives designated Universities more freedom to manage federal awards
- NSF is the host of participating agency documents relating to FDP

http://sites.nationalacademies.org/PGA/fdp/PGA_054588
University Policies & Procedures

- Focused on establishing how Northwestern complies with federal guidelines

- For Example:
  - Uniform Guidance establishes a need for effort reporting
  - NIH GPS and NSF GPM further define expectations
  - Northwestern must determine how to meet these guidelines via its Effort Reporting Policies
    - Last revised on 6/26/2014
Grant or Contract Terms

• The grant may specify even more detailed terms, conditions, and research administration procedures

• For Example:

  - Carry Forward Balance

  - Human Research Participant Training

  - Rebudgeting Restrictions
Questions?

“Are these just guidelines, or are they actual new policies?”

“I think it’s time we established new guidelines for corporate behavior.”