

An Overview of the New Research Terms and Conditions

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Agenda

- Introduction
- Participating Agencies
- Applicability of the RTCs
- Additional information about the RTCs
- Finding the RTCs



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What are the new RTCs?

- The new Research Terms and Conditions represent an implementation of the Uniform Guidance (2 CFR 200) that Federal agencies may use when they issue financial assistance awards for research and research related activities ;
- They replace the previous RTCs which were an implementation of OMB Circular A-110.



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What are the new RTCs?

- They are intended only for universities and non-profits, consistent with the previous version.
- They incorporate by reference the entire Uniform Guidance and provide additional clarity for select provisions
- They incorporate by reference the OMB Frequently Asked Questions



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How did the RTCs come about?

- In early 2014, multiple conference calls were held with representatives from the Research Business Models (RBM) Subcommittee to discuss whether, and, if so, how, to proceed with development of a replacement to the now outdated Research Terms and Conditions (RTCs)
- The RBM approved the Working Group to move forward with development of the “overlay” implementation strategy.
 - The Department of Defense proposed use of a different model, which they ultimately developed for use with DoD awards.



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Which agencies are participating?

- Department of Agriculture: NIFA
- Department of Commerce: NIST/NOAA
- Department of Homeland Security
- Department of Energy
- Department of Transportation: FAA
- NASA
- NIH – co-Chair
- NSF – co-Chair



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Which agencies are participating?

- Participating agencies must input their data into Prior Approval Matrix, Flow-down matrix, and agency specific requirements
- Adding agencies will not require a formalized Federal Register process
- DoD has implemented its own “R&D General Terms and Conditions”
<https://www.onr.navy.mil/Contracts-Grants/submit-proposal/grants-proposal/grants-terms-conditions.aspx>



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To which awards do the RTCs apply?

- The RTCs apply to research and research-related grants made by the participating agencies to institutions of higher education and non-profit organizations.
- The RTCs apply to an award when included as part of the award or when incorporated into the award by reference.



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When will the RTCs apply?

- Agency Implementation Dates:
 - April 2017:
 - DOE, NIH, NSF
 - June 2017:
 - USDA-NIFA
 - October 2017:
 - DOC-NIST/NOAA, DOT-FAA, EPA, NASA, DHS



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Are there any helpful resources available?

- The following additional documents are included in the implementation:
 - Appendix A, Prior Approval Matrix
 - Includes every prior approval requirement, validated by participating agencies.
 - Appendix B, Subaward Requirements Matrix
 - Addresses flow-down requirements by type of subrecipient, validated by participating agencies
 - Appendix C, National Policy Requirements Matrix



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What else should I know about the RTCs?

- Awards subject to the RTCs
 - are by definition part of the institution's R&D Cluster
 - Unless otherwise specified in the agency specific requirements, implement the 120 days closeout, rather than the 90 days specified in the UG
 - satisfy the UG's cost/performance reporting requirement by submission of the RPPR
- FAQs will be dated; an award will incorporate FAQs in effect at time award is issued



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Where can I find the RTCs?

NSF is the official host of the RTCs:

<https://www.nsf.gov/awards/managing/rtc.jsp>

- RTCs
- Agency Specifics
- Appendices
- Previous RTCs



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Questions?



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