NU - INTERDISCIPLINARY PROGRAM 1-2-3

INNOVATIVE INITIATIVE INCUBATOR (I3)

APPLICATION INSTRUCTIONS

Application due date: June 19, 2017

A complete application should include the following components:

1. **Cover Page** (one page max)
   a. Proposal Title
   b. Principal investigator: Name, Rank, Department, School, Email, Phone
   c. Table of Key Personnel: Name, Rank, Department, School

2. **Lay Summary** (0.5 page max) that clearly articulates the proposal to colleagues outside the field.

3. **Program Description** (5 pages max for sections a-d)
   a. **Vision**; must include potential national/international/societal impact
   b. **Background**; must include description of:
      i. Current state of the art
      ii. Existing similar programs and peer competitors
      iii. Technical/conceptual barriers and gaps that the new initiative will address, including rationale for the multi-disciplinary approach
      iv. The innovative, high-risk high-gain nature of the initiative
      v. How it is different than the funded ongoing research in your lab
   c. **Research Plan**; must include description of:
      i. Preliminary data
      ii. Goals
      iii. Two-year research plan
      iv. Milestones
      v. Future plans beyond the 2 years of I3 funding including potential external sponsors and funding mechanisms
   d. **Team**; must include description of:
      i. Interdisciplinary nature
      ii. Existing and new collaborations
      iii. Previous collaborative activities between the team members
      iv. Expertise of key personnel
4. **Detailed line item budget**

5. **Budget justification**; justify each line item request

6. **Biosketches**; 4 pages max for each participating faculty member

7. **Names of 5 potential senior faculty reviewers who:**
   - Have the required technical expertise to review your application
   - Have no conflict of interest with your application
   - Are preferably NU faculty
   - Are outside of your department

In addition, the application needs to demonstrate that the following requirements are fulfilled:

1. An interdisciplinary research team of investigators from at least two schools at Northwestern, and at least two departments other than the PIs.
2. The proposed research should be innovative, high-risk/high-reward.
3. New research that is not a mere extension of a currently funded program.
4. Faculty planning to submit an I3 application should discuss their programmatic plans and proposed budget with their Research Dean.
5. Some schools may require cost share contributions by the investigator.
6. Faculty from the School of Communication, the School of Education and Social Policy, and Bienen School of Music are required to obtain Dean's Office approval prior to developing an I3 application because a school's cash contribution is required.
7. Disclose all awards or pending applications that overlaps with this proposal including internal or external sponsor/funding source.
8. Justify the need for internal funding, i.e. explain why the proposal is not submitted for external funding.

**Proposal Submission:**

1. Prepare the proposal as a single pdf file. Name it "LastName-FirstInitial-I3-2017," replacing "LastName" with your last name and "FirstInitial" with your first initial.
2. Submit your application by completing this [web form](#). Note: Supplemental documents are not accepted.
3. To receive a confirmation email and copy of your submission, check the box ("Send me a copy of my responses") and enter your email address.
4. Click “Submit”.