# PROBLEM SETS NORTHWESTERN RTG SUMMER SCHOOL 2023

# 1. INVARIANT RANDOM SUBGROUP

## 1.1. Raz Slutsky.

- (1) Let G be a locally compact second countable group. Prove that Sub(G) is a compact space <sup>1</sup>.
- (2) Let  $\Gamma$  be a discrete countable group. Consider the space  $2^{\Gamma}$  with the product topology. Show that the subset of subgroups inside  $2^{\Gamma}$  is closed, and that it is homeomorphic to Sub( $\Gamma$ ).
- (3) Let  $\{\Gamma_n\}$  be a sequence of subgroups, and suppose that there exists  $U \subset G$ , an identity neighbourhood, such that  $\Gamma_n \cap U = \{id\}$  for every  $\Gamma_n$ . Suppose that  $\Gamma_n \to H$ . Show that H is discrete. Show that if all the  $\Gamma_n$  are torsion-free, then so is H.
- (4) Let G be a finitely generated group. Prove that every finite-index subgroup of G is an isolated point in Sub(G).
- (5) Show that if G surjects on  $S^1$ , then G is not an isolated point in Sub(G).
- (6) Show that if G acts on  $(X, \mu)$  ergodically, then the associated invariant random subgroup is also ergodic.
- (7) Fix a left-invariant metric d on G/K, a symmetric space. Show that the following sets form a basis of open sets around  $\{e\}$  in Sub(G).

$$U_R = \{ H \in \operatorname{Sub}(G) \mid \nexists h \in H \setminus \{e\} ; \ d([e], h[e]) \leq R \}$$

where [e] is the projection of  $e \in G$  to G/K. What does this say about a sequence of IRS's  $\mu_n$  which converge to  $\delta_{\{e\}}$ ? What does it say about a sequence of lattice IRS's,  $\mu_{\Gamma_n}$  which converge to  $\delta_{\{e\}}$ ?

#### 1.2. Kurt Vinhage.

(1) Show that  $\operatorname{Sub}(\mathbb{R}^n)$  is path connected.

#### 1.3. Mikolaj Fraczyk.

(1) Let G be a simple Lie group. If  $\Gamma$  is a lattice and  $\Gamma'$  is a normal subgroup of  $\Gamma$ , then  $\Gamma'$  is confined.

#### 2. Superrigidity and Arithmeticity

2.1. Homin Lee. Let  $\Gamma$  be a lattice in G. (Here, G will be always a simple non-compact "Lie group".) Reference is [Mor15].

- (1) Prove that  $SL_2(\mathbb{Z})$  is a lattice in  $SL_2(\mathbb{R})$ . (Hint: Use the hyperbolic plane.)
- (2) (Unimodular group) Let μ be a left Haar measure on G. Define a measure μ̃ as μ̃(A) = μ(A<sup>-1</sup>) for any measurable set A. Show that μ̃ is a right Haar measure. Also, show that there is a homomorphism δ : G → ℝ<sup>+</sup> such that

$$\mu(gAg^{-1}) = \delta(g)\mu(A)$$

for all measurable set A in G. Conclude that G is unimodular, that is the left Haar measure is also the right Haar measure.

<sup>&</sup>lt;sup>1</sup>Hint: First show that the space of closed subsets of a topological space is compact (using the Alexander sub-basis theorem). Then, show that the space of closed subgroups is a closed subset of it.

- (3) (A property of higher rank)Let G = SL(3, ℝ). Find subgroups L<sub>1</sub>,...,L<sub>r</sub> in G such that
  (a) G = L<sub>r</sub> ····· L<sub>1</sub>,
  - (b)  $L_i \cap A$  is non-compact for all  $i = 1, \ldots, r$ , and
  - (c)  $\{a \in A : al = la, \forall l \in L_i\}$  is non-compact for all i = 1, ..., r.
  - Convince yourself that it is not true for rank 1 groups.
- (4) Let  $\Gamma$  be a subgroup in  $SL(n, \mathbb{Q})$ . Assume that

 $\Gamma \subset \{A = (a_{i,j})_{i,j} \in \operatorname{Mat}_{n \times n}(\mathbb{Q}) : \text{for all } i, j, \text{the denominator of } a_{i,j} < N\}$ 

for some N. Show that there is a finite index subgroup  $\Gamma'$  in  $\Gamma$  such that  $\Gamma' \subset SL(n,\mathbb{Z})$ .

- (5) (Compact factor) Let L, H be Lie groups. Let  $\Lambda$  be a lattice in the Lie group L. Let  $\varphi : L \to H$  be a continuous surjective homomorphism with a compact kernel. Show that  $\varphi(\Lambda)$  is a lattice in H.
- (6) (Commensurator) Show that  $SL_3(\mathbb{Q}) \subset Comm_{SL_3(\mathbb{R})}(SL_3(\mathbb{Z}))$ .
- (7) (Restriction of Scalar) Let  $\Gamma = \operatorname{SL}(2, \mathbb{Z}[\sqrt{2}])$  and  $G = \operatorname{SL}(2, \mathbb{R}) \times \operatorname{SL}(2, \mathbb{R})$ . We will show that  $\Gamma$  can be realized as an arithmetic group ("integer points") so that it is a lattice in G by Borel and Harish–Chandra's theorem. Let  $k = \mathbb{Q}(\sqrt{2})$ ,  $\mathcal{O} = \mathbb{Z}[\sqrt{2}]$  and  $\Delta : k \to \mathbb{R}^2$  be  $\Delta(x) = (x, \sigma(x))$  where  $\sigma$  is the Galois conjugation,  $\sigma(a + b\sqrt{2}) = a b\sqrt{2}$  for  $a, b \in \mathbb{Q}$ . Prove the followings.
  - (a)  $\Delta(\mathcal{O})$  is discrete in  $\mathbb{R}^2$ . (This already suggests that  $\Gamma$  can be realized as a discrete subgroup in G using the embedding  $\Sigma : \Gamma \to G$ ,  $\Sigma(\gamma) = (\gamma, \sigma(\gamma))$ .)
  - (b) Show that  $\{(1,1), (\sqrt{2}, -\sqrt{2})\}$  is a  $\mathbb{Q}$ -basis of  $\Delta(k)$ . Find a  $\mathbb{Q}$  basis of  $\Delta(k^2) = \{(v, \sigma(v)) : v \in k^2\}$ . Let  $\Delta(k^2) = V_{\mathbb{Q}}$ . Note that  $V_{\mathbb{Q}}$  is a  $\mathbb{Q}$ -form on  $\mathbb{R}^4$ , that is,  $V_{\mathbb{Q}}$  is a  $\mathbb{Q}$ -vector space and  $V_{\mathbb{Q}} \otimes_{\mathbb{Q}} \mathbb{R} = \mathbb{R}^4$ . Also  $\Delta(\mathcal{O}^2)$  can be thought as an "integer lattice" in  $V_{\mathbb{Q}}$ .
  - (c) Using the Q-basis of  $\Delta(k^2)$  you found in (c), show that  $\Sigma(\Gamma)$  can be written as a subgroup of  $SL(4,\mathbb{Z})$ .
  - (d) Let  $Mat_{4\times 4}(\mathbb{R})_{\mathbb{Q}} = \{A \in Mat_{4\times 4}(\mathbb{R}) : A(V_{\mathbb{Q}}) = V_{\mathbb{Q}}\}$ . Show that

$$Mat_{4\times 4}(\mathbb{R})_{\mathbb{Q}} = \left\{ \begin{bmatrix} A & B \\ \sigma(A) & \sigma(B) \end{bmatrix} : A, B \in Mat_{2\times 2}(k) \right\}.$$

- (e) As W = Mat<sub>4×4</sub>(ℝ) is a 16 dimensional vector space, we can think about a polynomial f : W → W. We call that f is defined over Q with respect to a Q-form W<sub>Q</sub> if f(W<sub>Q</sub>) ⊂ W<sub>Q</sub> where W<sub>Q</sub> = Mat<sub>4×4</sub>(ℝ)<sub>Q</sub> is a Q-form of W induced by V<sub>Q</sub>. Find a set of polynomials Q such that 1) for all f ∈ Q is defined over Q with respect to W<sub>Q</sub> and 2) the common zero set G' of for all f ∈ Q is isomorphic to SL<sub>2</sub>(ℝ) × SL<sub>2</sub>(ℝ) ⊂ SL<sub>4</sub>(ℝ).
- (f) Similarly, define  $Mat_{4\times 4}(\mathbb{R})_{\mathbb{Z}} = \{A \in Mat_{4\times 4}(\mathbb{R})_{\mathbb{Q}} : A(\Delta(\mathcal{O}^2)) \subset \Delta(\mathcal{O}^2)\}$ . Show that  $Mat_{4\times 4}(\mathbb{R})_{\mathbb{Z}} \cap G'$  is  $\Sigma(\Gamma)$ . Therefore,  $\Gamma$  is arithmetic, especially,  $\Gamma$  is a lattice in G.

### 2.2. Kurt Vinhage.

- (1) Let  $\Lambda < \mathbb{R}^n$  be a discrete subgroup. Prove that  $\Lambda$  is Zariski dense if and only if  $\Lambda$  is a lattice.
- (2) Let  $A \in GL(n, \mathbb{R})$ . We have a homomorphism  $\varphi : \mathbb{Z} \to GL(n, \mathbb{R})$  that is defined by  $\varphi(1) = A$ . Show that the  $\mathbb{R}$  action on

$$\{(v,t): v \in \mathbb{R}^n, 0 \le t \le 1\}/(v,0) \sim (Av,1)$$

with r.[(v,t)] = [(v,r+t)] is equivalent to the  $\mathbb{R}$  action on

$$(\mathbb{R} \times \mathbb{R}^n)/\mathbb{Z}$$

with r.[(t, v)] = [(r + t, v)].

# 2.3. Nick Miller.

- (1) Show that the inclusion  $SL_3(\mathbb{Q}) \subset Comm_{SL_3(\mathbb{R})}(SL_3(\mathbb{Z}))$  is proper, i.e.,  $SL_3(\mathbb{Q}) \neq Comm_{SL_3(\mathbb{R})}(SL_3(\mathbb{Z}))$ . Does  $PGL_3(\mathbb{Q})$  coincide with  $Comm_{PGL_3(\mathbb{R})}(PGL_3(\mathbb{Z}))$ ?
- (2) Suppose you have semi simple  $\mathbb{Q}$ -group H (you can think about it as a subgroup of  $\mathrm{SL}_n(\mathbb{R})$  such that there are finitely many polynomials (variables are matrix entries) with rational coefficients so that the intersection of zero sets is H) and a lattice  $\Gamma < H(\mathbb{Q}) < H$  for which the image under the natural maps to  $H(\mathbb{Q}_p)$  is bounded. Show that  $\Gamma$  is commensurable with  $H(\mathbb{Z})$ .
- (3) Let  $G = SL(3, \mathbb{R})$  (more generally, simple Lie group). Let  $\Gamma$  be a lattice in G. Show that  $\Gamma$  has an infinite index in  $Comm_G(\Gamma)$  if and only if  $Comm_G(\Gamma)$  is dense in G.
- (4) Let H be a group and L be a subgroup of H. Show that  $\operatorname{Aut}_H(H/L)$  is isomorphic to  $N_H(L)/L$ .

# 2.4. Amir Mohammadi.

(1) Construct irreducible cocompact lattice in  $SL(2, \mathbb{R}) \times SL(2, \mathbb{R})$ . Also, construct a reducible cocompact lattice in  $SL(2, \mathbb{R}) \times SL(2, \mathbb{R})$ . (Hint:  $SL(2, \mathbb{R}) \times SL(2, \mathbb{R}) \simeq SO(2, 2)^{\circ}$ )

#### 3. Kakutani equivalence

#### 3.1. Kurt Vinhage.

- (1) Let  $T: (X, \mu) \to (X, \mu)$  be a probability measure preserving transformation, and  $r: X \to \mathbb{R}_+$  be an  $L^1$ -function. Show that the suspension space can be constructed by quotienting  $X \times \mathbb{R}$  by the action of the transformation  $\tilde{T}(x,t) = (T(x), t r(x))$ .
  - (a) Show that both the vertical flow and  $\tilde{T}$  preserve the measure  $\mu \times \text{Leb}$  on  $X \times \mathbb{R}$ .
  - (b) Show that  $\mu \times \text{Leb}$  induces a finite measure on the suspension space which is invariant under the vertical flow.
  - (c) Find a formula for the total mass of the suspension space with respect to the invariant measure.
- (2) Let  $T: (X, \mu) \to (X, \mu)$  be a probability measure preserving transformation, and  $r_1, r_2: X \to \mathbb{R}_+$  be two  $L^1$ -functions. Show that the suspensions with roofs  $r_1$  and  $r_2$  are Kakutani equivalent by finding an explicit equivalence. [*Hint*: The time change functions  $\tau(x, t)$  will be piecewise linear!]
- (3) Let  $T, X, \mu r_1$  and  $r_2$  be as in the previous problem. If there exists an  $L^1$  function  $f: X \to \mathbb{R}$  such that  $r_2(x) = r_1(x) + f(T(x)) f(x)$  for all  $x \in X$ , show the the suspession flows with roots  $r_1$  and  $r_2$  are measurably conjugate by finding an explicit conjugacy. [*Hint*: Let the segment above x "borrow" a segment of length f(T(x)) from the segment above T(x) and "lend" a segment of length f(x) to  $T^{-1}(x)$ ]
- (4) Let  $\varphi_t : (X, \mu) \to (X, \mu)$  and  $\psi_t : (Y, \nu) \to (Y, \nu)$  be probability measure preserving flows, and assume that  $H : X \to Y$  is a Kakutani equivalence such that  $H_*\mu = \nu$ . Show that H is a measurable isomorphism. [*Hint*: First show that if  $\tau : \mathbb{R} \to \mathbb{R}$  is an increasing homeomorphism preserving Lebesgue and fixing 0, then  $\tau = \mathrm{Id}_{\mathbb{R}}$ ]

# 3.2. Homin Lee.

(1) Let  $G_1$  and  $G_2$  be groups. Let  $X_1$  and  $X_2$  be  $G_1$  and  $G_2$  spaces, respectively. Let  $T : G_1 \times X_1 \to X_1$ and  $S : G_2 \times X_2 \to X_2$  be action maps. Assume that there is an orbit equivalence  $H : X_1 \to X_2$ with respect to  $G_1$  and  $G_2$  actions. Define a map  $\alpha : G_1 \times X_1 \to G_2$  as

$$\alpha(g, x) = h \iff H(T(g)(x)) = [S(h)](H(x)).$$

Show that  $\alpha$  satisfies a cocycle equation, that is

$$\alpha(pq, x) = \alpha(p, T(q)(x))\alpha(q, x).$$

(2) Let G be a discrete group and X be a topological spee. Let  $\rho_1 : G \times X \to X$  and  $\rho_2 : G \times X \to X$ be 2 continuous actions on the space X by the group  $G_1$  and  $G_2$  respectively. Assume that  $\rho_1$  and  $\rho_2$  are orbit equivalent to each other. As above, we can define a cocycle  $\alpha : G \times X \to G$  from the orbit equivalence. Show that  $\rho_1$  and  $\rho_2$  are topologically conjugate if and only if  $\alpha$  is cohomologous with the identity map, that is there is a map  $\varphi : X \to G$  such that  $\alpha(g, x) = \varphi(\rho_1(g)(x))^{-1}g\varphi(x)$ .

### 3.3. Daren Wei.

- (1) Let  $p(t) = \sum_{k=0}^{d} a_k t^k$  be a polynomial of degree d. For every  $\epsilon > 0$ , show that there exists  $C = C(d) \ge 1$  such that if  $|p(t)| \le \epsilon$  for all  $t \in [0, T]$ , then  $|a_k| < CT^{-k}\epsilon$  for all  $k = 0, \ldots, d$ . Conversely, show that if  $|a_k| \le C^{-1}T^{-k}\epsilon$  for all k, then  $|p(t)| < \epsilon$  for all  $t \in [0, T]$ .
- (2) Let G a connected group. Show that if H is a discrete normal subgroup of G, then H is contained in the center of G.
- (3) Let's define  $\bar{f}$  as follows.

**Definition 3.1.** If  $w, w' \in \{1, 2, ..., m\}^n$ , then

$$\bar{f}_n(w,w') = 1 - k/n$$

where k is the maximal integer for which we can find subsequences  $i_1 < i_2 < \ldots < i_k$ ,  $j_1 < j_2 < \ldots < j_k$  with  $w(i_r) = w'(j_r)$  for  $1 \leq r \leq k$ .

- (a) Show  $\overline{f}_n$  defines a metric on  $\{1, 2, \ldots, m\}^n$ .
- (b) if w = 1212...12, i.e. *n* copies of 12, w' = 2121...21, i.e. *n* copies of 21, what is  $\bar{f}_n(w, w')$ ?
- (c) if w is k copies of 123...m and w' is in the form k copies of 1, k copies of 2, ..., k copies of m, what is  $\bar{f}_{mk}(w, w')$ ?
- (4) Suppose that T is an ergodic measure preserving transformation acting on  $(X, \mu)$  and  $A \subset X$  is a measurable subset. For every  $x \in X$ , let  $r_A(x) = \min\{k \ge 1 : T^k x \in A\}$  and define  $T_A$  as

$$T_A x = T^{r_A(x)} x.$$

- (a) Show that  $\int_A r_A(x) d\mu = 1$ .
- (b) Show that  $T_A$  is ergodic with respect to measure  $\mu_A(\cdot) = \frac{\mu(\cdot)}{\mu(A)}$ .
- (c) If  $A_1 \subset A_2$ , show that  $(T_{A_2})_{A_1} = T_{A_1}$ .
- (5) Suppose that S is an ergodic measure preserving transformation on  $(Y, \nu)$  and  $h: Y \to \mathbb{Z}^+$  has finite integral. Let  $Y^h = \{(x, i) : x \in Y, 1 \le i \le h(x)\}$  and define  $S^h$  as

$$S^{h}(x,i) = \begin{cases} (x,i+1), & \text{if } i+1 \le h(x); \\ (Sx,1), & \text{if } i+1 > h(x). \end{cases}$$

Moreover, let  $\nu^h$  be the product measure of  $\nu$  and Lebesgue measure on  $\mathbb{R}$  that normalized by  $\int_Y h d\nu$ .

- (a) Show that  $S^h$  is ergodic with respect to measure  $\nu^h$ .
- (b) Suppose that  $A \subset Y$  is a measurable subset, show that  $(S_A)^{r_A}$  is measurable isomorphic to S.

#### 4. Homogeneous dynamics

#### 4.1. Osama Khalil.

(1) Let  $G = SL(2, \mathbb{R})$ , Show that

$$\pi: N^{-1} \times A \times N^+ \to G, \quad \pi(a, b, c) = abc$$

is a diffeomorphism near (id,id,id) onto its image where

$$N^{-} = \left\{ \begin{bmatrix} 1 & 0 \\ * & 0 \end{bmatrix} \right\}, \quad A = \left\{ \begin{bmatrix} e^{t} & 0 \\ 0 & e^{-t} \end{bmatrix} \right\}, \quad N^{+} = \left\{ \begin{bmatrix} 1 & * \\ 0 & 1 \end{bmatrix} \right\}.$$

# 4.2. Amir Mohammadi.

(1) Fix  $0 \leq \delta < 1$ . Show that there is  $c(\delta) > 0$  such that for any t > 0 and  $v \in \mathbb{R}^2 \setminus \{(0,0)\},\$ 

$$\frac{1}{2\pi} \int_0^{2\pi} \frac{d\theta}{||a_t r_\theta v||^{1+\delta}} \leqslant \frac{c(\delta)e^{-t(1-\delta)}}{||v||^{1+\delta}}$$

where  $a_t = \text{diag}(e^{2t}, e^{-2t})$  and  $r_{\theta}$  is

$$\begin{bmatrix} \cos\theta & -\sin\theta\\ \sin\theta & \cos\theta \end{bmatrix}$$

(2) Let  $\mathfrak{g} = \mathfrak{sl}_2(\mathbb{C})$ . For every  $0 < \alpha < 1$  there is  $\beta = \min\{\alpha/2, (1-\alpha)/2\}$  such that

$$\int_0^1 \frac{dr}{||a_t u_r w||} < \frac{C e^{-\beta t}}{||w||^{\alpha}}$$

for all  $\omega \in \mathfrak{g} \setminus \{0\}$ .

### 5. (GENERAL) DYNAMICS

# 5.1. Osama Khalil.

(1) (Weyl's Theorem) Let  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$  and denote by  $R_{\alpha} : S^1 \to S^1$  the rotation by  $\alpha$ . Prove that for every  $x \in S^1$ , the orbit of x under  $\alpha$  is equidistributed, i.e., for every open set  $E \subseteq S^1$ ,

$$\lim_{N \to \infty} \frac{\# \{ 0 \le n \le N - 1 : R^n_\alpha(x) \in E \}}{N} = \operatorname{Leb}(E).$$

This can be done in the following steps:

(a) Prove that it suffices to show that for every continuous function  $f \in C(S^1)$ ,

$$\lim_{N \to \infty} \frac{1}{N} \sum_{n=0}^{N-1} f(R^n_{\alpha}(x)) = \int_{S^1} f \, d\text{Leb.}$$
(5.1)

- (b) Use density of trig polynomials in  $C(S^1)$  to show that it is enough to prove (5.1) for the functions  $f(y) = e^{2\pi i k y}, k \in \mathbb{Z}.$
- (c) Prove (5.1) for  $f_k(y)$  [Hint: geometric sums].

(2) Show that  $h_{top}(R_{\alpha}) = 0$  for any  $\alpha \in \mathbb{R}$ .

(3) Let  $f: S^1 \to S^1$  be an expanding map and  $\mu$  be an invariant probability measure under f. Let  $\varepsilon := \inf_x |f'(x)|/2$  and let  $\Xi$  be a finite measurable partition of  $S^1$  such that each element of  $\Xi$  has diameter at most  $\varepsilon$ . Prove that  $\Xi$  realizes the metric entropy of  $\mu$ , i.e. prove that

$$h_{\mu}(f) = \lim_{n \to \infty} \frac{\log H\left(\bigvee_{k=0}^{n-1} f^{-k}(\Xi)\right)}{n}.$$

Recall that for any partition  $\mathcal{P}$ ,  $H(\mathcal{P}) = -\sum_{P \in \mathcal{P}} \mu(P) \log \mu(P)$ .

(4) (van der Corput's Trick) Prove that there is a constant  $C \ge 1$  so that for every  $N, H \ge 1$  and every sequence  $(a_n)_n$  of complex numbers of magnitude at most 1, we have

$$\left|\frac{1}{N}\sum_{n=1}^{N}a_{n}\right| \leqslant \left(\frac{1}{H}\sum_{h=0}^{H-1}\frac{1}{N}\sum_{n=1}^{N}a_{n}a_{n+h}\right)^{1/2} + \frac{CH}{N}.$$

[Hint: Cauchy-Schwarz.]

(5) Let  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$ . Prove that the sequence  $(n^2 \alpha)_{n \ge 1}$  is equidistributed mod 1, i.e., for every open set  $E \subseteq [0, 1)$ ,

$$\lim_{N \to \infty} \frac{\# \left\{ 0 \le n \le N - 1 : n^2 \alpha \mod 1 \in E \right\}}{N} = \operatorname{Leb}(E).$$

[Hint: Apply van der Corput's trick with  $a_n = \exp(2\pi i k n^2 \alpha)$  for arbitrary fixed k then use Weyl's criterion (Weyl's Theorem).]

- (6) Show that  $h_{top}(R_{\alpha}) = 0$  for any  $\alpha \in \mathbb{R}$ .
- (7) Let  $f: S^1 \to S^1$  be an expanding map and  $\mu$  be an invariant probability measure under f. Let  $\varepsilon := \inf_x |f'(x)|/2$  and let  $\Xi$  be a finite measurable partition of  $S^1$  such that each element of  $\Xi$  has diameter at most  $\varepsilon$ . Prove that  $\Xi$  realizes the metric entropy of  $\mu$ , i.e. prove that

$$h_{\mu}(f) = \lim_{n \to \infty} \frac{H\left(\bigvee_{k=0}^{n-1} f^{-k}(\Xi)\right)}{n}.$$

Recall that for any partition  $\mathcal{P}$ ,  $H(\mathcal{P}) = -\sum_{P \in \mathcal{P}} \mu(P) \log \mu(P)$ .

### 5.2. Solly Coles.

- (1) Prove irrational rotations are minimal.
- (2) Find a symbolic coding for the expanding map  $E_m : \mathbb{S}^1 \to \mathbb{S}^1$ ,  $E_m(x) = mx \pmod{1}$ . Here  $\mathbb{S}^1 = \mathbb{R}/\mathbb{Z}$ .
- (3) Describe the periodic orbits of  $E_m(x)$  (notice that the growth rate as period goes to infinity is the topological entropy).
- (4) Show topological entropy is a (topological) conjugacy invariant.
- (5) Show that the definitions of entropy by separated sets and spanning sets are equal.
- (6) Calculate topological entropy of the cat map.
- (7) Show the cat map is transitive (or harder mixing).
- (8) Show Lebesgue is ergodic for  $E_m$ .
- (9) Show  $\deg(f)$  is  $C^0$  locally constant for f a smooth expanding map of the circle.
- (10) Show that the Lyapunov exponent  $\lambda(f, x)$  is independent of base point x for expanding map f (up to measure 0).

#### 5.3. Kurt Vinhage.

- (1) Find an expanding map f such that the function λ(x) = lim sup<sub>n→∞</sub>(1/n) log [(f<sup>n</sup>)'(x)] is nowhere continuous. (Hint: it is constant almost everywhere by Birkhoff ergodic theorem. Get it to be different at every periodic point.
- (2) Let f be an expanding map of the circle and  $\mu$  be an f-invariant probability measure. Recall the metric  $d_n$  given by the maximal distance between the points along the orbit of two points up to time n-1. Given  $0 < \epsilon < 1$  and n, let  $N(\epsilon, n)$  denote the minimal number of  $\epsilon$ -balls in the metric  $d_n$  needed to cover a Borel set of measure at least 1/2. Prove that the following special case of a result of Anatoly Katok which says that the metric entropy  $h_{\mu}(f)$  is equal to

$$\lim_{\epsilon \to 0} \limsup_{n \to \infty} (1/n) \log N(\epsilon, n)$$

# References

 $[{\rm Mor15}]$  D. W. Morris, Introduction to arithmetic groups, Deductive Press, 2015. MR3307755  $\uparrow 1$ 

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